

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

21069

7590

04/21/2003

AMGEN INCORPORATED MAIL STOP 27-4-A ONE AMGEN CENTER DRIVE THOUSAND OAKS, CA 91320-1799 EXAMINER
HUFF, SHEELA JITENDRA
ART UNIT CLASS-SUBCLASS
1642 514-012000

DATE MAILED: 04/21/2003

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/428,082	10/22/1999	ULRICH FEIGE	A-527	4189

TITLE OF INVENTION: MODIFIED PEPTIDES AS THERAPEUTIC AGENTS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1300	\$0	\$1300	07/21/2003

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED.</u> THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>, SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

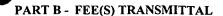
B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

□ Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.



Complete and send this form, together with applicable fee(s), to: Mail Box ISSUE FEE

Commissioner for Patents Washington, D.C. 20231

(703)746-4000 <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block I)

04/21/2003

AMGEN INCORPORATED MAIL STOP 27-4-A ONE AMGEN CENTER DRIVE **THOUSAND OAKS, CA 91320-1799** Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above, or being facsimile transmitted to the USPTO, on the date indicated below.

(Der	ositor's name)
-	(Signature)
	(Date)

APPLICATION NO. FILING DATE		FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
	09/428 082	10/22/1999	ULRICH FEIGE	A-527	4189

TITLE OF INVENTION: MODIFIED PEPTIDES AS THERAPEUTIC AGENTS

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APPLN, TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1300	\$0	\$1300	. 07/21/2003
EXAMI	NER	ART UNIT	CLASS-SUBCLASS		
HUFF, SHEELA JITENDRA 1642		1642	514-012000		•
1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).			2. For printing on the patent from the names of up to 3 registered	patent attorneys 1	
☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.			or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2		
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			registered patent attorneys or age is listed, no name will be printed.		

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not b	e printed on the patent) individual corporation or other private group entity government				
la. The following fee(s) are enclosed:	4b. Payment of Fee(s):				
☐ Issue Fee	☐ A check in the amount of the fee(s) is enclosed.				
☐ Publication Fee	☐ Payment by credit card. Form PTO-2038 is attached.				
☐ Advance Order - # of Copies	☐ The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number(enclose an extra copy of this form).				

Commissioner for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

(Date)

NOTE; The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



United States Patent and Trademark Office

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APPLICATION NO).	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/428,082 10/22/1999 ULRICH FEIGE 21069 7590 04/21/2003 AMGEN INCORPORATED MAIL STOP 27-4-A ONE AMGEN CENTER DRIVE		10/22/1999	ULRICH FEIGE	A-527	4189
		04/21/2003		EXAMINER	
		HUFF, SHEELA JITENDRA			
		DRIVE		ART UNIT	PAPER NUMBER
THOUSAND	,	A 91320-1799		1642	
UNITED STATES				DATE MAILED: 04/21/2003	

Determination of Patent Term Extension under 35 U.S.C. 154 (b) (application filed after June 7, 1995 but prior to May 29, 2000)

The patent term extension is 0 days. Any patent to issue from the above identified application will include an indication of the 0 day extension on the front page.

If a continued prosecution application (CPA) was filed in the above-identified application, the filing date that determines patent term extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system. (http://pair.uspto.gov)

Any questions regarding the patent term extension or adjustment determination should be directed to the Office of Patent Legal Administration at (703)305-1383.



United States Patent and Trademark Office

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APPLICATION NO.	F	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/428,082 10/22/1999 21069 7590 04/21/2003		10/22/1999	ULRICH FEIGE	A-527	4189
		04/21/2003		EXAMINI	ER
AMGEN INC	AMGEN INCORPORATED		HUFF, SHEELA JITENDRA		
MAIL STOP 27	7-4-A				
ONE AMGEN	CENTER	DRIVE		ART UNIT	PAPER NUMBER
THOUSAND OAKS, CA 91320-1799			1642		
UNITED STATES				DATE MAILED: 04/21/2003	

Notice of Fee Increase on January 1, 2003

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after January 1, 2003, then the amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there will be an increase in fees effective on January 1, 2003. See Revision of Patent and Trademark Fees for Fiscal Year 2003: Final Rule, 67 Fed. Reg. 70847, 70849 (November 27, 2002).

The current fee schedule is accessible from: http://www.uspto.gov/main/howtofees.htm.

If the issue fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due," but not the correct amount in view of the fee increase, a "Notice to Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice to Pay Balance of Issue Fee," if the response to the Notice of Allowance and Fee(s) due form is to be filed on or after January 1, 2003 (or mailed with a certificate of mailing on or after January 1, 2003), the issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eighth Edition, August 2001).

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

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	Application No.	Applicant(s)
Notice of Allemakility	09/428,082	FEIGE ET AL.
Notice of Allowability	Examiner	Art Unit
	Sheela J Huff	1642
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this ap or other appropriate communicatio GHTS. This application is subject	oplication. If not included on will be mailed in due course. THIS
1. This communication is responsive to the communication file	ed 2/28/03 .	
2. The allowed claim(s) is/are 1-7, 10 and 52-53 renumbered	as 1-10 respectively.	
3. The drawings filed on 03 February 2001 are accepted by the	e Examiner.	
 4. ☐ Acknowledgment is made of a claim for foreign priority und a) ☐ All b) ☐ Some* c) ☐ None of the: 	er 35 U.S.C. § 119(a)-(d) or (f).	
 Certified copies of the priority documents have 	been received.	
2. Certified copies of the priority documents have	been received in Application No	:
3. Copies of the certified copies of the priority doc	uments have been received in this	national stage application from the
International Bureau (PCT Rule 17.2(a)).		
* Certified copies not received:		
5. Acknowledgment is made of a claim for domestic priority un		sional application).
(a) The translation of the foreign language provisional a		
6. Acknowledgment is made of a claim for domestic priority un	der 35 U.S.C. §§ 120 and/or 121.	
Applicant has THREE MONTHS FROM THE "MAILING DATE" of below. Failure to timely comply will result in ABANDONMENT of to 7. A SUBSTITUTE OATH OR DECLARATION must be subminformal patent application (PTO-152) which gives reason	his application. THIS THREE-MO	NTH PERIOD IS NOT EXTENDABLE. R'S AMENDMENT or NOTICE OF
• CORRECTED PRANTINGS		
8. CORRECTED DRAWINGS must be submitted.(a) including changes required by the Notice of Draftspers	on's Potent Proving Poving / DTC) () () () () () () () () () () () () ()
1) ☐ hereto or 2) ☐ to Paper No	on's Patent Drawing Review (PTC	J-948) attached
(b) ☐ including changes required by the proposed drawing c	orrection filed which has b	and approved by the Francisco
(c) ☐ including changes required by the attached Examiner's		
(o) En moroding changes required by the attached Examiners	Amendment / Comment of in the	Office action of Paper No
Identifying indicia such as the application number (see 37 CFR 1.1 of each sheet. The drawings should be filed as a separate paper to	34(c)) should be written on the draw with a transmittal letter addressed to	ings in the top margin (not the back) o the Official Draftsperson.
9. DEPOSIT OF and/or INFORMATION about the depos attached Examiner's comment regarding REQUIREMENT FOR THE	it of BIOLOGICAL MATERIAL IE DEPOSIT OF BIOLOGICAL MA	must be submitted. Note the ATERIAL.
Attachment(s)		
1 ☐ Notice of References Cited (PTO-892) 3 ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) 5 ☐ Information Disclosure Statements (PTO-1449), Paper No 7 ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material	4∏ Interview Summ 6⊠ Examiner's Ame	nal Patent Application (PTO-152) nary (PTO-413), Paper No endment/Comment ement of Reasons for Allowance
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Application/Control Number: 09/428,082

Art Unit: 1642

EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Tim Gaul on 4/18/03.

The application has been amended as follows:

The following has been added after "acids" in claim 1, line 10: - and wherein

neither X¹ nor X² is a native protein---

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sheela J Huff whose telephone number is 703-305-7866. The examiner can normally be reached on T,Th 6am-12pm and alternate Mondays 6am-3pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Anthony Caputa can be reached on 703-308-3995. The fax phone numbers for the organization where this application or proceeding is assigned are 703-308-4242 for regular communications and 703-308-4242 for After Final communications.



Application/Control Number: 09/428,082

Art Unit: 1642

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Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-1235.

Sheela J Huff Primary Examiner Art Unit 1642

sjh April 18, 2003

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